

07/09/01  
 11003 U.S. PTO

S&H Form: PTO/SB/05 (2/01)

**UTILITY  
 PATENT APPLICATION**

**TRANSMITTAL**

(Only for original applications)

**APPLICATION ELEMENTS**  
 See MPEP chapter 600 concerning utility patent application contents.

Attorney Docket No. 1293.1223

First Named Inventor or Application Identifier:  
 Kyung-geun LEE, et al.

Express Mail Label No.

ADDRESS TO:  
**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

11003 U.S. PTO  
 09/900462

07/09/01

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract .....[Total Pages: 15]
3. ☒ Drawing(s) (35 USC 113) .....[ Total Sheets: 6 ] [FIGS. 1-7 ]
4. ☐ Oath or Declaration .....[ Total Pages:    ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Verified Statement Claiming Small Entity Status
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76
7. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment (cover sheet & document(s)) to of
 


☐ for publication of assignee information under 37 CFR 1.215(b)
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

**18. CORRESPONDENCE ADDRESS**



**21171**

PATENT TRADEMARK OFFICE

|   |   |   |                     |                                  |                  |                        |  |
|---|---|---|---------------------|----------------------------------|------------------|------------------------|--|
| <b>NEW APPLICATION<br/>FEE TRANSMITTAL</b>  |   | Attorney Docket No.   |                     | 1293.1223                        |                  |                        |  |
|   |   | Application Number  |                     |                                  |                  |                        |  |
|   |   | Filing Date   |                     |                                  |                  |                        |  |
| AMOUNT ENCLOSED   |   | \$ 0.00   |                     | First Named Inventor             |                  | Kyung-geun LEE, et al. |  |
| <b>FEE CALCULATION</b> (fees effective 10/01/00)  |   |   |                     |                                  |                  |                        |  |
| CLAIMS  | (1) FOR   | (2) NUMBER<br>FILED   | (3) NUMBER<br>EXTRA | (4) RATE                         | (5) CALCULATIONS |                        |  |
|   | TOTAL CLAIMS  | 25 - 20 =   | 5                   | X \$ 18.00<br>=                  | \$ 90.00         |                        |  |
|   | INDEPENDENT<br>CLAIMS   | 3 - 3 =   | 0                   | X \$ 80.00<br>=                  | 0.00             |                        |  |
|   | MULTIPLE DEPENDENT CLAIMS (any number;<br>if applicable)                            |   |                     | + \$270.00<br>=                  |                  |                        |  |
|   |   |   |                     | BASIC FILING<br>FEE              | 710.00           |                        |  |
|   |   |   |                     | Total of above<br>Calculations = | \$ 800.00        |                        |  |
|   | Surcharge for late filing fee, Oath or Declaration (37 CFR<br>1.53(f)) (\$130.00)   |   |                     |                                  | \$ 130.00        |                        |  |
|   | Reduction by 50% for filing by small entity (37 CFR 1.27).                          |   |                     |                                  |                  |                        |  |
|   |   |   |                     | TOTAL FILING<br>FEE =            | \$ 930.00        |                        |  |
|   | Surcharge for filing non-English language application (\$130.00;<br>37 CFR 1.52(d)) |   |                     |                                  |                  |                        |  |
|   | Recordation of Assignment (\$40.00; 37 CFR 1.21(h))                                 |   |                     |                                  |                  |                        |  |
|   |   |   |                     | TOTAL FEES<br>DUE =              | \$ 930.00        |                        |  |
| <b>METHOD OF PAYMENT</b>  |   |   |                     |                                  |                  |                        |  |
| <input type="checkbox"/> Check enclosed as payment.   |   |   |                     |                                  |                  |                        |  |
| <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below.  |   |   |                     |                                  |                  |                        |  |
| <input checked="" type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time<br>(unless specifically required to obtain a filing date).   |   |   |                     |                                  |                  |                        |  |
| <b>GENERAL AUTHORIZATION</b>  |   |   |                     |                                  |                  |                        |  |
| <input type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby<br>authorized to credit any overpayment or charge any additional fees necessary to:  |   |   |                     |                                  |                  |                        |  |
| Deposit Account No.   |   | 19-3935   |                     |                                  |                  |                        |  |
| Deposit Account Name  |   | STAAS & HALSEY LLP  |                     |                                  |                  |                        |  |
| <input type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees<br>required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the<br>prosecution of this application, including any related application(s) claiming benefit hereof<br>pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or<br>continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any<br>such related application. |   |   |                     |                                  |                  |                        |  |
| <b>SUBMITTED BY: STAAS &amp; HALSEY LLP</b>   |   |   |                     |                                  |                  |                        |  |
| Typed Name  |   | Alicia M. Choi  |                     | Reg. No.                         |                  | 46,621                 |  |
| Signature   |   |  |                     | Date                             |                  | July 9, 2001           |  |

#4  
LTK  
S&H Form (2/01)  
12-08-01

Attorney Docket No. 1293.1223

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kyung-geun LEE, et al.

Application No.:

Group Art Unit:

Filed: July 5, 2001

Examiner:

For: HIGH-SPEED OPTICAL RECORDING METHOD AND APPARATUS

**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. §1.55**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.55, the applicant(s) submit(s) herewith  
a certified copy of the following foreign application:

Korean Patent Application No. 2000-74311

Filed: July 12, 2000

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing  
date(s) as evidenced by the certified papers attached hereto, in accordance with the  
requirements of 35 U.S.C. §119.

Respectfully submitted,

STAAS & HALSEY LLP

Date:

July 9, 2001

By:

Alicia M. Choi  
Alicia M. Choi  
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